

## Health Care Providers and Payers

Alcohol and drug abuse and addiction continues to be a huge problem in the United States. Nowhere is this felt more than in the health care community. Health care providers and those who pay for medical services feel the impact of a disease that affects millions. According to the "2001 National Household Survey of Drug Abuse:"<sup>1</sup>

- There are 15.9 million illicit drug users in the United States—7.1 % of the U.S. population over 12 years of age.
- 10.8 percent of youth 12-17 years of age used an illicit drug in the last 30 days.
- 28.5 percent of all youth aged 12 to 20 drank in the last month—10.1 million. Of that total, 6.8 million were binge drinkers, 2.1 million heavy drinkers.
- Almost one in five adult Americans lived with an alcoholic while growing up.<sup>2</sup>

With so many men, women, and youth using or addicted to alcohol and illicit drugs, the chances are that anyone engaged in the provision of health care will encounter people in need of help with alcohol and drug problems. It is almost certain that primary care physicians in managed care settings will encounter many of those with substance abuse problems since many of them have health care insurance and almost 77 percent of illicit drug users are employed.<sup>3</sup> If a primary cause of their health problems—drugs and alcohol—is not met head-on, through referrals and support, these patients will continue to tax the medical care system and cost payers because of the need to treat other medical conditions exacerbated by substance abuse or addiction. The list of co-existing diseases is long, ranging from AIDS to hypertension and cardiovascular disease, from diabetes to hepatitis C.

In the course of practice, health care providers can also expect to see people with "co-occurring" disorders, that is, those with both mental and substance abuse disorders. About half of people with a lifetime addictive disorder also experience a lifetime history of at least one mental disorder. Roughly 50 percent of those with a lifetime mental disorder also have a lifetime history of at least one addictive disorder.<sup>4</sup> Unfortunately, large numbers of these people in need of treatment do not receive it.



*"I got kicked out of pharmacy school twice due to a full-blown cocaine addiction—the school [called it] 'academic difficulty.' I call it the conspiracy of silence. People don't know where to turn when [addiction] happens. There isn't a lot of discussion about what to do and where to go."*

—**David Marley**

Executive Director of the  
NC Pharmacists Recovery Network

Failure to treat both disorders almost assures an exacerbation of health problems. The U.S. Substance Abuse and Mental Health Services Administration's **Report to Congress on the Prevention and Treatment of Co-occurring Substance Abuse Disorders and Mental Health Disorders** points out: "If one of the co-occurring disorders goes untreated, both usually get worse and additional complications arise. The combination of disorders can result in poor response to traditional treatments and increase the risk for other serious medical problems."<sup>5</sup>

According to a substance abuse study of primary care physicians and patients, 54 percent of patients said their primary care physician did nothing about their substance abuse—43 percent said their physician never diagnosed it; 10.7 percent said they believed their physician knew about their addiction and did nothing about it.<sup>6</sup> The study bolstered this perception by stating that "more than nine in ten physicians fail to spot substance abuse in adults. Four out of ten missed it in teens."<sup>7</sup> There are several reasons why physicians miss or misdiagnose substance abuse, including lack of adequate training in medical school, skepticism about treatment effectiveness, patient resistance, discomfort in discussing substance abuse with patients, and time constraints.<sup>8</sup>

## Effectiveness of Treatment

Only a small number of medical practitioners feel that treatment for drug abuse and alcoholism is very effective.<sup>9</sup> Most of these same practitioners consider treatments for other chronic conditions such as hypertension and diabetes to be very effective.<sup>10</sup> Yet, treatment success rates are comparable for these medical conditions. In a study reported in the *Journal of the American Medical Association (JAMA)*, drug dependence, including alcohol, was compared to type 2 diabetes mellitus, hypertension, and asthma. The study concluded that "medical adherence and relapse rates are similar across these illnesses. Drug dependence generally has been treated as if it were an acute illness. Review results suggest that long-term care strategies of medication management and continued monitoring produce lasting benefits. Drug dependence should be insured, treated, and evaluated like other chronic illnesses."<sup>11</sup>

Other studies confirm these findings and even show that brief interventions are effective in significantly reducing dependence.<sup>12, 13</sup>

## Brief Interventions

Brief interventions can be effective in a variety of populations. A study was conducted among older "problem drinkers"—men who consumed 11 or more drinks per week, women who consumed eight or more drinks per week—and those who indicated other difficulties with alcohol. They received two 10-15 minute interventions and reinforcement visits one month apart. Follow-ups were conducted at three, six, and 12 months. At 12 months, the intervention group had reduced alcohol consumption by 36 percent. The proportion of drinkers classified as excessive drinkers declined by 52 percent, and binge drinking declined by 47 percent.<sup>14</sup>

A second study was conducted in a trauma center. The study noted that about 50 percent of patients admitted to trauma centers in the United States are intoxicated and among them approximately 85 percent have a serious problem with alcohol. Trauma centers routinely treat the injuries but ignore the underlying alcohol problem. In the study conducted in a level 1 trauma center, a sample of patients screened for alcohol problems received either a brief, 30-minute counseling session or standard trauma center care. Researchers examined records for every hospital in Washington state to determine if patients were re-admitted. Over the next three years, among those who received an intervention, there was a 48 percent reduction in injuries requiring hospitalization. In addition to a decrease in alcohol use and trauma reduction, risk-taking behavior, DUIs, traffic violations, alcohol-related arrests, and other arrests also declined.<sup>15</sup>

### Silent Success

It can be argued that one other factor, unique to the substance abuse field, plays a part in the diminished awareness of treatment success; that is the long tradition of protecting the anonymity of people in recovery. In no other field do millions of success stories go untold. The vast majority of these men, women, and youth in recovery are leading healthy, active, productive lives. But few outside their families and close friends are aware of their successes. This year's theme, ***"Join the Voices for Recovery: Celebrating Health,"*** underscores the importance of sharing the successes of recovery with the public.

### Making a Difference: What Can I Do?

1. **Get the Facts.** Learn about the newest science-based treatment protocols through education and training. Learn about the nature of addiction and increase your understanding of the recovery process.
2. **Examine Your Own Perceptions of Substance Abuse.** A study states "the effects of drug dependence on social systems have helped shape the generally held view that drug dependence is primarily a social problem, not a health problem."<sup>16</sup> It is hard even for health professionals to entirely escape this perception, but research clearly establishes that addiction to alcohol and drugs is a medical problem, not a moral weakness. The stigma associated with addiction compromises the ability of people in need from getting treatment. The best way to combat stigma is by educating and informing ourselves and others about the disease. In that manner we can change attitudes and actions.
3. **Recognize that "One Size Does Not Fit All."** Nowhere is this more true than in the field of substance abuse treatment. To be fully effective, service plans should be individualized to the needs of the client. Cultural background and special needs must be recognized. It is important to take into account the needs of those with co-existing disorders including HIV/AIDS and physical and cognitive/developmental disabilities.
4. **Take a Holistic Approach to Those with Co-occurring Disorders.** Make every effort to identify those with co-occurring substance abuse and mental disorders and treat the whole person. For treatment to be fully effective, it is vital that health care providers expect that

patients will exhibit both mental and substance abuse problems and will need coordinated treatment for both conditions.

5. **Re-evaluate Mental Health and Substance Abuse Benefits.** Many assumptions about the cost of benefits were made based on actuarial assumptions which reflected utilization patterns from the 1970s and 1980s. They do not reflect today's private sector treatment systems.<sup>17</sup> Rand Health conducted a study of 24 plans that had no limits on mental health or substance abuse care, \$10 co-payments for outpatient visits, and \$100 co-payments for inpatient care. Services were managed through a managed behavioral health organization. Providing unlimited mental health benefits in these plans resulted in about \$45 per plan member per year of insurance payments to providers.<sup>18</sup> Unlimited substance abuse benefits alone accounted for about an additional \$5 per plan member per year.<sup>19</sup>
6. **Employ Screening Instruments to Help Identify Those in Need of Services.** Experts in substance abuse treatment recommend that primary care clinicians "periodically and routinely screen all patients for substance use disorders."<sup>20</sup> In addition to questioning patients, a variety of screening instruments are available for use. A number of these instruments, including CAGE, CAGE-AID (CAGE adapted to include drugs), AUDIT, TWEAK, and MAST, are described in depth in Treatment Improvement Protocol (TIP) Series #24, *A Guide to Substance Abuse Services for Primary Care Clinicians* (DHHS Publication No. (SMA) 97-3139). It can be ordered free of charge from SAMHSA's clearinghouse, the National Clearinghouse for Alcohol and Drug Information (NCADI), at 1-800-729-6686, 301-468-2600, or 1-800-487-4889 (TDD).

### Making a Difference: How Can I Focus My Efforts During *Recovery Month*?

We encourage health care providers and payers to take action in support of ***Recovery Month***, which begins in September 2003. Your voice is vital to the success of the 14th annual celebration of ***Recovery Month***. Here are some thoughts for your consideration:

1. **Encourage Others to Take Action.** Please encourage fellow health care professionals in their efforts to improve practices and make changes for the better. Encourage clinicians to seek out training so that they are better equipped to identify patients with drug and alcohol problems and refer them for treatment. Support efforts to increase medical students' knowledge of substance abuse and its treatment. Those of you who are involved in paying for services can make a great difference by adopting policies that better support treatment. Promote the facts about treatment's effectiveness and the realities of the recovery process. Talk with your constituents openly about how to fight their discomfort in tackling these issues.
2. **Examine Your Own Workplace Benefits.** Objectively evaluate your own workplace benefits to see if there are equal resources for your employees when it comes to mental health services and treatment for drug and alcohol addiction. Facilitate the provision of adequate treatment services for family members as well as the primary beneficiary of services.
3. **Participate in a Community Forum.** Many cities around the nation will be hosting Community Forums during ***Recovery Month*** to talk about drug and alcohol addiction,

to discuss recovery-related topics, and to solve identified problems. Consider becoming a Forum participant. Your expertise and commitment will be invaluable.

4. **Speak Out from a Personal Perspective, if You Are Comfortable.** If you or a loved one is recovering from a drug or alcohol problem you can be a very powerful voice for the effectiveness of treatment. As a respected member of your organization and your community, you may be able to impact benefit and service delivery decisions. You may want to consult your employee assistance program or human resources representative first to identify the most suitable and receptive audience for your disclosure. For maximum impact, if you have colleagues within the organization who also are in recovery, ask them if they would like to join you.

**You are encouraged to share your plans and activities for *Recovery Month* 2003 with SAMHSA's Center for Substance Abuse Treatment, your colleagues, and the general public by posting them on the official *Recovery Month* web site at <http://www.recoverymonth.gov>.**

**We would like to know about your efforts during *Recovery Month*. Please complete the Customer Satisfaction Form enclosed in the kit. Directions are included on the form.**

**For any additional *Recovery Month* materials visit our web site at <http://www.recoverymonth.gov> or call 1-800-729-6686.**



## Additional Resources

### Federal Agencies

U.S. DEPARTMENT OF HEALTH AND HUMAN  
SERVICES (HHS)

200 Independence Avenue, SW

Washington, DC 20201

877-696-6775 (Toll-Free)

[www.hhs.gov](http://www.hhs.gov)

HHS, Substance Abuse and Mental  
Health Services Administration (SAMHSA)

5600 Fishers Lane

Parklawn Building, Suite 13C-05

Rockville, MD 20857

301-443-8956

[www.samhsa.gov](http://www.samhsa.gov)

HHS, SAMHSA  
National Clearinghouse for Alcohol  
and Drug Information

P.O. Box 2345

Rockville, MD 20847-2345

800-729-6686 (Toll-Free)

800-487-4889 (TDD) (Toll-Free)

877-767-8432 (Spanish) (Toll-Free)

[www.ncadi.samhsa.gov](http://www.ncadi.samhsa.gov)

HHS, SAMHSA  
National Directory of Drug Abuse and  
Alcoholism Treatment Programs

[www.findtreatment.samhsa.gov](http://www.findtreatment.samhsa.gov)

SAMHSA National Helpline

800-662-HELP (800-662-4357) (Toll-Free)

800-487-4889 (TDD) (Toll-Free)

877-767-8432 (Spanish) (Toll-Free)

(for confidential information on substance  
abuse treatment and referral)

[www.findtreatment.samhsa.gov](http://www.findtreatment.samhsa.gov)

HHS, SAMHSA

Center for Substance Abuse Treatment

5600 Fishers Lane

Rockwall II

Rockville, MD 20857

301-443-5052

[www.samhsa.gov](http://www.samhsa.gov)

HHS, SAMHSA

Center for Mental Health Services

5600 Fishers Lane

Parklawn Building, Room 17-99

Rockville, MD 20857

301-443-2792

[www.samhsa.gov](http://www.samhsa.gov)

U.S. DEPARTMENT OF HEALTH AND HUMAN  
SERVICES (HHS)

Health Resources and Services Administration

Bureau of Primary Health Care

4350 East West Highway

Bethesda, MD 20814

888-ASK-HRSA (Toll-Free)

[www.bphc.hrsa.gov](http://www.bphc.hrsa.gov)

U.S. DEPARTMENT OF HEALTH AND HUMAN  
SERVICES (HHS)

National Institutes of Health (NIH)

9000 Rockville Pike

Bethesda, MD 20892

301-496-4000

[www.nih.gov](http://www.nih.gov)

HHS, NIH

National Institute on Alcohol Abuse and Alcoholism

Willco Building

6000 Executive Boulevard

Bethesda, MD 20892-7003

301-443-3860

[www.niaaa.nih.gov](http://www.niaaa.nih.gov)

HHS, NIH  
National Institute on Drug Abuse  
Office of Science Policy and Communication  
6001 Executive Boulevard  
Room 5213 MSC 9561  
Bethesda, MD 20892-9561  
301-443-1124  
Telefax fact sheets: 888-NIH-NIDA (Voice) (Toll-Free)  
or 888-TTY-NIDA (TTY) (Toll-Free)  
[www.drugabuse.gov](http://www.drugabuse.gov)

HHS, NIH  
National Institute of Mental Health  
Neuroscience Center  
6001 Executive Boulevard  
Room 8184, MSC 9663  
Bethesda, MD 20892-9663  
301-443-4513  
[www.nimh.nih.gov](http://www.nimh.nih.gov)

U.S. DEPARTMENT OF HEALTH AND HUMAN  
SERVICES (HHS)  
Office of Minority Health Resource Center  
P.O. Box 37337  
Washington, DC 20013-7337  
800-444-6472 (Toll-Free)  
301-230-7199 (TDD)  
[www.omhrc.gov](http://www.omhrc.gov)

### Other Resources

Al-Anon/Alateen  
For Families and Friends of Alcoholics  
Al-Anon Family Group Headquarters, Inc.  
1600 Corporate Landing Parkway  
Virginia Beach, VA 23454-5617  
888-4AL-ANON (888-425-2666) (Toll-Free)  
[www.al-anon.alateen.org](http://www.al-anon.alateen.org)

Alcoholics Anonymous  
475 Riverside Drive, 11th Floor  
New York, NY 10115  
212-870-3400  
[www.aa.org](http://www.aa.org)

Alcoholism and Substance Abuse  
Providers of New York State  
1 Columbia Place  
Albany, NY 12207  
518-426-3122  
[www.asapnys.org](http://www.asapnys.org)

American Academy of Child  
and Adolescent Psychiatry  
3615 Wisconsin Avenue, NW  
Washington, DC 20016-3007  
202-966-7300  
[www.aacap.org](http://www.aacap.org)

American Council on Alcohol Problems  
2376 Lakeside Drive  
Birmingham, AL 35244  
205-989-8177

American Medical Association  
515 North State Street  
Chicago, IL 60610  
312-464-5000  
[www.ama-assn.org](http://www.ama-assn.org)

American Mental Health Counselors Association  
801 North Fairfax Street, Suite 304  
Alexandria, VA 22314  
800-326-2642 (Toll-Free)  
[www.amhca.org](http://www.amhca.org)

American Psychiatric Association  
1000 Wilson Boulevard, Suite 1825  
Arlington, VA 22209  
888-357-7924 (Toll-Free)  
[www.psych.org](http://www.psych.org)

American Psychological Association  
750 1st Street, NE  
Washington, DC 20002-4242  
800-374-2721 (Toll-Free)  
[www.apa.org](http://www.apa.org)



American Society of Addiction Medicine  
4601 North Park Avenue, Upper Arcade Suite 101  
Chevy Chase, MD 20815-4520  
301-656-3920  
[www.asam.org](http://www.asam.org)

Association for Medical Education  
and Research in Substance Abuse  
125 Whipple Street, 3rd Floor, Suite 300  
Providence, RI 02908  
401-349-0000  
[www.amersa.org](http://www.amersa.org)

Association of American Indian Physicians  
1225 Sovereign Row, Suite 103  
Oklahoma City, OK 73108  
405-946-7072  
[www.aaip.com](http://www.aaip.com)

Association of Black Psychologists  
P.O. Box 55999  
Washington, DC 20040-5999  
202-722-0808  
[www.abpsi.org](http://www.abpsi.org)

Indian Health Service  
The Reyes Building  
801 Thompson Avenue, Suite 400  
Rockville, MD 20852-1627  
301-443-2038  
[www.ihs.gov](http://www.ihs.gov)

International Nurses Society on Addictions  
P.O. Box 10752  
Raleigh, NC 27605  
919-821-1292  
[www.intnsa.org](http://www.intnsa.org)

Massachusetts Organization for Addiction  
Recovery  
(Affiliate of NEAAR-CSAT RCSP Grantee)  
c/o Boston ASAP  
30 Winter Street, 3rd Floor  
Boston, MA 02108  
617-423-6627  
[www.neaar.org/moar](http://www.neaar.org/moar)

National Adolescent Health Information Center  
Division of Adolescent Medicine, Department  
of Pediatrics and Institute for Health  
Policy Studies  
School of Medicine, University of California,  
San Francisco  
3333 California Street, Suite 245  
San Francisco, CA 94118  
415-502-4856  
<http://youth.ucsf.edu/nahic>

National Association for Children of Alcoholics  
11426 Rockville Pike, Suite 100  
Rockville, MD 20852  
888-55-4COAS (888-554-2627) (Toll-Free)  
[www.nacoa.org](http://www.nacoa.org)

National Association of Addiction Treatment  
Providers  
313 W. Liberty Street, Suite 129  
Lancaster, PA 17603-2748  
717-392-8480  
[www.naatp.org](http://www.naatp.org)



National Association of Social Workers  
750 1st Street, NE, Suite 700  
Washington, DC 20002-4241  
202-408-8600  
800-638-8799 (Toll-Free)  
[www.socialworkers.org](http://www.socialworkers.org)

National Center on Addiction and Substance  
Abuse at Columbia University (CASA)  
633 3rd Avenue, 19th Floor  
New York, NY 10017  
212-841-5200  
[www.casacolumbia.org](http://www.casacolumbia.org)

National Council on Alcoholism  
and Drug Dependence, Inc.  
20 Exchange Place, Suite 2902  
New York, NY 10005-3201  
212-269-7797  
800-NCA-CALL (Hope Line) (Toll-Free)  
[www.ncadd.org](http://www.ncadd.org)

National Indian Health Board  
1385 South Colorado Boulevard, Suite A707  
Denver, CO 80222  
303-759-3075  
202-742-4262  
[www.nihb.org](http://www.nihb.org)

National Medical Association  
1012 10th Street, NW  
Washington, DC 20001  
202-347-1895  
[www.nmanet.org](http://www.nmanet.org)

National Mental Health Association  
2001 North Beauregard Street, 12th Floor  
Alexandria, VA 22311  
703-684-7722  
800-969-6642 (TTY) (Toll-Free)  
[www.nmha.org](http://www.nmha.org)

National TASC (Treatment Alternatives  
for Safer Communities)  
2204 Mount Vernon Avenue, Suite 200  
Alexandria, VA 22301  
703-836-8272  
[www.nationaltasc.org](http://www.nationaltasc.org)

Phoenix House  
164 West 74th Street  
New York, NY 10023  
212-595-5810  
[www.phoenixhouse.org](http://www.phoenixhouse.org)

Physician Leadership on National Drug Policy  
PLNDP National Project Office  
Center for Alcohol and Addiction Studies  
Brown University  
Box G-BH  
Providence, RI 02912  
401-444-1817  
[www.plndp.org](http://www.plndp.org)

The Association for Addiction Professionals  
901 N. Washington Street, Suite 600  
Alexandria, VA 22314  
703-741-7686  
800-548-0497 (Toll-Free)  
[www.naadac.org](http://www.naadac.org)

## Sources

- <sup>1</sup> *Summary of Findings from the 2001 National Household Survey on Drug Abuse*. DHHS Publication No. (SMA) 02-3758. Rockville, MD: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, 2002.
- <sup>2</sup> Elgen, L. and Rowen, D. A methodology and current estimate of the number of children of alcoholics in the United States. *Children of Alcoholics: Selected Readings*. Rockville, MD: National Association for Children of Alcoholics, 1996.
- <sup>3</sup> *Summary of Findings from the 2001 National Household Survey on Drug Abuse*.
- <sup>4</sup> Kessler, R.C., Nelson, C.B., and McGonagle, K.A., et al. The epidemiology of co-occurring addictive and mental disorders: Implications for prevention and service utilization. *American Journal of Orthopsychiatry* 66(1), January 1996.
- <sup>5</sup> *Report to Congress on the Prevention and Treatment of Co-occurring Substance Abuse Disorders and Mental Disorders*. Rockville, MD: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, 2002.
- <sup>6</sup> *Missed Opportunity: National Survey of Primary Care Physicians and Patients on Substance Abuse*. New York, NY: National Center on Addiction and Substance Abuse, Columbia University, April 2000.
- <sup>7</sup> *ibid.*
- <sup>8</sup> *ibid.*
- <sup>9</sup> *ibid.*
- <sup>10</sup> *ibid.*
- <sup>11</sup> Klebor, H.D., O'Brien, C.P., Lewis, D.C., and McLellan, A.T. Drug dependence, a chronic medical illness: Implications for treatment, insurance, and outcomes evaluation. *Journal of the American Medical Association*, 284 (13), Chicago, IL: American Medical Association, October 4, 2000.
- <sup>12</sup> *A Guide to Substance Abuse Services for Primary Care Physicians*. Treatment Improvement Protocol (TIP) Series 24. DHHS Publication No. (SMA) 97-3139. Rockville, MD: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Center for Substance Abuse Treatment, 1997.
- <sup>13</sup> Dorfman, S. *Preventive Interventions under Managed Care: Mental Health and Substance Abuse Services*. DHHS Publication No. (SMA) 00-3437. Rockville, MD: U.S. Department of Health and Human Services, Substance Abuse and Mental Health Services Administration, Center for Mental Health Services, 2000.
- <sup>14</sup> *ibid.*
- <sup>15</sup> *Annals of Surgery*, November, 1999. Excerpted by Academic Medical Center, University of Washington, November 12, 1999.
- <sup>16</sup> Klebor, H.D. et al.
- <sup>17</sup> Sturm, Roland. "The Cost of Covering Mental Health and Substance Abuse Care at the Same Level as Medical Care in Private Insurance Plans." Testimony Presented to the Health Insurance Committee, National Conference of Insurance Legislators. Published July 2001 by RAND, Santa Monica, CA.
- <sup>18</sup> *ibid.*
- <sup>19</sup> *ibid.*
- <sup>20</sup> *A Guide to Substance Abuse Services for Primary Care Physicians*.